

## **RE-REGISTRATION INSTRUCTIONS**

#### **NOTICE TO ALL**

The following contains detailed instructions on how to complete and submit the Re-registration Form in order to re-register the interest of an Investor in Griffin Capital (Nashville) Fund, L.P. (the "Fund") into or out of a custodian account without any changes to the underlying ownership as stated in the Investor's current account information on record. Griffin Capital strongly encourages all Investors to independently obtain advice from legal counsel and/or tax professional as to what effect a re-registration may have on their individual tax situations.

#### **INSTRUCTIONS FOR INVESTORS**

For an Investor to re-register its limited partnership interest in the Fund, all applicable Investor Information must be completed, dated, and executed below. All signatures in the applicable acknowledgement sections of the Re-registration Form must be notarized or certified via medallion guarantee. Please note that all Investors and authorized signers must be consistent with the Investor's current account information on record. Once completed, the Re-registration Form and any accompanying documents must be provided to Griffin Capital using one of the methods below. For custodial held accounts, the Re-registration Form and any accompanying documents must be provided to the Investor's custodian for review, approval, and submittal to Griffin Capital.

#### **CUSTODIAN RE-REGISTRATION REQUIREMENTS**

To add, remove or otherwise change the Investor's custodian ("**Custodian Re-registration**"), the following sections of the **Re-registration Form** must be completed:

- 1. Full and complete **Investor Information**, including the **Investor's Acknowledgement** signed by the Investor and consistent with the Investor's current account information on record. Please note that the **Investor's** signature must be notarized or certified via medallion signature guarantee.
- 2. If applicable, a fully completed, dated, and certified via medallion signature guarantee **Custodian Certification** page (custodial held accounts only).

#### **DOCUMENT SUBMISSION**

**Preferred and Most Secure:** Upload securely at GriffinCapital.com/Nashville eFax to Griffin Capital Investor Relations at (310) 526-0159 Email to Griffin Capital's Investor Relations Team at <a href="mailto:InvestorRelations@griffincapital.com">InvestorRelations@griffincapital.com</a> Mail directly to Griffin Capital, Attention: Investor Relations, 266 Kansas Street, El Segundo, CA 90245

#### **PROCESSING TIME**

Upon submittal, Griffin Capital will confirm receipt via email with the Financial Professional and/or Investor directly. Re-registration Forms are processed on a bi-monthly basis. Re-registration Forms received on or before the 15<sup>th</sup> of the month will be processed and confirmed no later than month-end (provided the paperwork is in good order). Re-registration Forms received after the 15<sup>th</sup> of the month will be processed and confirmed no later than the 15<sup>th</sup> of the following month (provided the paperwork is in good order). To expedite a Re-registration Form, please send a request to <a href="mailto:lnvestorRelations@griffincapital.com">lnvestorRelations@griffincapital.com</a>.

Once all requirements have been satisfied, Griffin Capital will confirm the same with the Financial Professional and/or Investor directly. Within two (2) business days thereafter, the fund administrator, JTC Group, will update the Investor's account on the Investor Portal and provide a Re-registration Statement via email. Custodian accounts will be updated pursuant to the custodian's reconciliation schedule, which is typically at month-end.

# **INVESTOR INFORMATION**

# REQUIRED FOR ALL CUSTODIAN RE-REGISTRATIONS

# **INVESTOR INFORMATION**

Fund Name:							
Investor Name:							
Investor's Financial Professional:							
Amount to Re-register:							
Type of Ownership:	☐ Individual Ownership	☐ Joint Tenants	☐ Tenants in Common				
	☐ Community Property	$\square$ Revocable Trust	☐ Irrevocable Trust				
	$\square$ C-Corporation	☐ Partnership	☐ Limited Liability Company				
	☐ S-Corporation	☐ Disregarded Entity					
Owner / Authorized Signer # 1 Name:							
Owner / Authorized Signer # 2 Name (If Applicable):							
Owner / Authorized Signer # 3 Name (If Applicable):							
Owner / Authorized Signer # 4 Name (If Applicable):							
Current Custodian Name, If Applicable:							
Current Custodian Account #, If Applicable:							
New Custodian Name, If Applicable:							
New Custodian Account #, If Applicable:							

# **INVESTOR DISTRIBUTION INFORMATION**

# **REQUIRED FOR ALL CUSTODIAN RE-REGISTRATIONS**

Please confirm the re-registered investment's distribution method below, and complete the requested information in its entirety:

**CUSTODIAN-HELD ACCOUNTS** 

	Via Wire to Custodian (Required for Name of Custodian:	Custodian-Held Accounts)
	Account Number:	
DIF	RECTLY-HELD ACCOUNTS	
	Electronic Deposit/ACH (Checking	or Savings Accounts Only)
		or savings Accounts Only)
	Please include a <b>voided check</b> .	
	Name of Bank:	
	Account Name:	
	Account Number:	
	Bank ABA / Routing Number: For Further Credit (If Applicable):	
	Account Type:	☐ Checking ☐ Savings
	Via Wire to Brokerage Account	
	Brokerage Firm:	
	Account Name:	
	Account Number:	
	Routing Number:	
	For Further Credit:	
	Via Check to Mailing Address	
	Made Payable (75 Character Limit):	
	Mailing Address:	
	City, State, Zip Code:	
	Via Check to Brokerage Account	
	Made Payable (75 Character Limit):	
	Brokerage Firm:	
	Firm Address:	
	City, State, Zip Code:	
	Account Number:	

### **INVESTOR ACKNOWLEDGEMENT & SIGNATURE**

#### **REQUIRED FOR ALL CUSTODIAN RE-REGISTRATIONS**

The Investor hereby represents and warrants to the Fund as follows:

- 1. All information provided in the Subscription Document, or most recent Transfer or Re-Registration Form, that were completed and signed by the Investor in connection with the purchase or acquirement of limited partnership interest to be transferred or re-registered remains accurate.
- 2. If the Investor is acting in a representative capacity for a corporation, trust, or other entity, or as an agent to any person or entity, the Investor has full authority to execute this Re-registration Form in such capacity.
- 3. The Investor hereby constitutes and appoints the General Partner of the Fund as the Investor's attorney in fact to re-register the said limited partnership interest on the books of the Fund to the designated custodian with full power of substitution. The foregoing grant of authority (a) is a special power of attorney and couples with an interest, and (b) is irrevocable and shall survive the Investor's death, dissolution or disability.
- 4. The Investor understands that (a) the re-registration will not be completed until it has been consented to in writing and accepted by the General Partner, which consent may be withheld in the General Partner's sole and absolute discretion.
- 5. The Investor hereby represents that the re-registration of limited partnership interest evidenced hereby is being made in accordance with all applicable laws and regulations.

## All applicable investors are required to sign, and signatures must be notarized.

# <u>Individual Investors</u>

Investor #1 Signature & Date	Investor #2 Signature & Date
Investor #1 Print Name	Investor #2 Print Name
Investor #3 Signature & Date	Investor #4 Signature & Date
Investor #3 Print Name	Investor #4 Print Name
Trust / Entity Investors	
Name of Trust / Entity:	
Javastav #1 Circastura <sup>0</sup> Data	Javastan #2 Cianatura & Data
Investor #1 Signature & Date	Investor #2 Signature & Date
Investor #1 Print Name	Investor #2 Print Name
Investor #1 Title	Investor #2 Title
Investor #3 Signature & Date	Investor #4 Signature & Date
Investor #3 Print Name	Investor #4 Print Name
Investor #3 Title	Investor #4 Title

# FINANCIAL PROFESSIONAL APPROVAL PAGE

# REQUIRED FOR ALL CUSTODIAN RE-REGISTRATIONS

Investor Name:				
Investment Amount:				
The undersigned Financial Advisor hereby represents and warrants that (A) they have and will comply with the applicable requirements of the Securities Act of 1933, as amended (the "Securities Act"), and the published rules and regulations of the Securities and Exchange Commission thereunder, and applicable blue sky or other state securities laws, as well as the rules and regulations of FINRA or any other applicable regulatory authority, (B) they have and will comply with applicable rules and regulations pertaining to (i) anti-money laundering; and (ii) compliance with the Patriot Act, (C) they have not and will not solicit offers to any person, entity or government subject to trade restrictions under U.S. law and none of the funds used by their client to acquire the investment provided for herein are derived from any unlawful activity with the result that such investment in the Fund (whether directly or indirectly), is prohibited by law or any documents of the Fund, and that it has adequate policies and procedures in place to prevent such an occurrence with respect to this investment.				
The Financial Advisor further represents and warrants that (A) they are not subject to any of the "Bad Actor" disqualifications described in Rule 506(d) under the Securities Act, except for such event: (1) contemplated by Rule 506(d)(2) of the Securities Act and (2) a reasonably detailed description of which has been furnished to the Fund in writing, (B) they have reasonable grounds to believe, based upon information provided by their client concerning their investment objectives other investments, financial situation and needs, and upon any other information known by the Financial Advisor, that their client is in a financial position appropriate to enable him or her to realize to a significant extent the benefits (including tax benefits) of an investment in the Interests, and has a fair market net worth sufficient to sustain the risks inherent in an investment in the Interests (including potential loss and lack of liquidity) and the Interests otherwise constitute a suitable investment of for the client, (C) they shall maintain files disclosing the basis upon which the determination of the client's suitability was made and shall retain in their files, for a period of at least six years, information that will establish that the client falls within the permitted class of investors and shall make such files available to the Fund during such time period upon its reasonable request.				
The Financial Advisor further represents and warrants that in connection with the offer and sale of interest, they, their representatives and agents (A) only used sales literature authorized or approved by the Fund for use with potential investors and (B) did not make any unauthorized verbal representations concerning the Interests or any untrue statement or omission to state a fact necessary in order to make the statements made, in light of the circumstances under which they were made not misleading.				
Signature:				
Date:				
Printed Name:				
B/D or RIA Name:				
Address:				
City / State / Zip:				
Phone No.:				
E-mail Address:				
CC/Assistant Address:				

# BROKER-DEALER/REGISTERED INVESTMENT ADVISOR FIRM PRINCIPAL APPROVAL PAGE

# **REQUIRED FOR ALL CUSTODIAN RE-REGISTRATIONS**

Investor Name:				
Investment Amount:				
The undersigned hereby represents that they have reviewed and approved the investment provided for herein.				
Signature:				
Date:				
Printed Name:				
B/D or RIA Name:				
Address:				
City / State / Zip:				
Phone No.:				
E-mail Address:				
CC Email Address:				

# **CUSTODIAN CERTIFICATION**

## **REQUIRED FOR CUSTODIAL HELD ACCOUNTS ONLY**

For custodial ownership accounts, the Re-registration Form must be fully completed, dated, executed, and sent to the custodian for review and certification.

To approve the foregoing information, please fully complete, sign and certify via medallion guarantee the following:

Name of Custodian:					
Name of Investor:					
Custodian Account #:					
Name of Custodian Administrator:					
Custodian Signature		Date			
Medallion Guarantee (Required):					

## PAPERWORK SUBMITTAL

Once the Re-registration Form has been fully completed and executed by all parties, the form should be returned using one of the following methods:

- Preferred and Most Secure: Upload securely at GriffinCapital.com/Nashville
- eFax to Griffin Capital Investor Relations at (310) 526-0159
- Email to Griffin Capital's Investor Relations Team at <a href="mailto:lnvestorRelations@griffincapital.com">lnvestorRelations@griffincapital.com</a>
- Mail directly to Griffin Capital, Attention: Investor Relations, 266 Kansas Street, El Segundo, CA 90245